

COMPLIANCE ASSESSMENT

This is to confirm that:

Dfinity Foundation

has successfully passed the assessment with regards to technical, security, operational, and independence requirements established by the Network Nervous System (NNS) to operate as an Approved Node Provider on the Internet Computer Protocol (ICP) Network.

Assessment Details:

1. Identification Compliance

The Foundation's declared Ultimate Beneficial Owner has been identified and screened.

The sole controlling person, Mr. Dominic Williams, was screened through compliance tools (Comply Advantage) and both public and official sources.

Due to Mr. Williams's well-established public presence, the requirement to provide a copy of his identification document was waived.

Information obtained was sufficient to complete a comprehensive screening with no relevant findings to be flagged.

2. Hardware Requirements

The Foundation successfully met all technical specifications and hardware configuration standards required to operate nodes on the ICP Network. Independent verification confirmed compliance with NNS standards through official documentation on the foundation's website and public open sources.

3. Source of Funds

The Foundation demonstrated the legitimacy of its source of funds through both documentation and corroborating open-source evidence.

Funding was validated through publicly disclosed venture capital investments, token allocation structures, and ongoing ecosystem initiatives.

The documents and public information confirmed the availability of adequate resources to sustain network operations.

4. Ownership and Control

The Foundation is governed as per Swiss law, with Dominic Williams serving as the President and sole controlling person.

Screening confirmed no adverse information on governance or control.

The waiver of the identification document requirement for the controlling person was justified and did not compromise the completeness of the assessment.

5. Independence from Other Node Providers

Based on the signed self-declaration and verification through publicly available sources, it was confirmed that:

- Neither the controlling person nor any person with effective control holds similar positions in other Node Providers.
- There are no direct familial, corporate, or financial relationships with other Node Providers or their controlling persons.
- The Foundation is not part of a holding, parent, or subsidiary structure that includes other Node Providers.
- The Foundation has sufficient financial resources to independently generate and sustain network operations.

6. Additional Remarks

No material compliance, reputational, or legal risks were identified during the Enhanced Due Diligence.

Minor historical disputes (e.g., intellectual property litigation and token-related allegations) were either settled or dismissed and do not pose a current compliance or reputational risk.

Assessment Valid As of: 25.07.2025

Signed by:

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Compliance and Regulatory Specialist

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DISCLAIMER

This Compliance Assessment has been prepared with the utmost care and diligence. It reflects the professional opinion of the issuer based on the information and documentation made available by the Node Provider and the ICP community as well as further information available on online sources at the time of assessment.

The contents of this assessment do not claim to be exhaustive or free from error. While reasonable efforts have been made to verify the accuracy of the information provided, the issuer does not guarantee its completeness or correctness and accepts no liability for any inaccuracies, omissions, or misrepresentations.

This certificate is intended exclusively for use within the context of the Internet Computer Protocol (ICP) network and solely for the purpose explicitly stated herein.

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