

COMPLIANCE ASSESSMENT

This is to confirm that:

ParaFi Technologies NS LLC

has undergone a comprehensive compliance assessment established by the Network Nervous System (NNS) to operate as an Approved Node Provider on the Internet Computer Protocol (ICP) network. The findings outlined in this report reflect the results of that assessment.

Assessment Findings:

1. Identification Compliance

The company has successfully completed identity verification and submitted all required supporting documentation.

2. Hardware Requirements

According to the sales agreement provided by the Company between Rivonia Holdings LLC and ParaFi Technologies LLC, the hardware listed appears to align with Generation 1 configurations, specifically the Dell PowerEdge R6525 model. The hardware has not been physically inspected, and this assessment is based solely on the information outlined in the purchase agreement as submitted by the Company.

3. Source of Funds

As part of the enhanced due diligence and screening process, no indications were identified to suggest that the funds used to acquire nodes from Rivonia Holdings LLC originate from money laundering, terrorist financing, or any other illicit activities.

4. Ownership and Control

During the assessment, the company disclosed its beneficial ownership structure and provided supporting documentation. As of the assessment date, Benjamin Forman, Kevin Yedid-Botton, and Adrian Uberto are identified as either ultimate beneficial owners (UBOs) or individuals exercising control over the company.

At the company's request, the exact ownership percentages will not be disclosed. Furthermore, based on the documentation submitted and additional research

conducted, there is no clear indication of any other UBOs or controlling persons associated with the company at this stage.

This matter should be revisited for further verification once the screening of all other node providers has been completed.

5. Independence from Other Node Providers

Based on a self-declaration and subsequent verification through documentation review and interview with the company's controlling member and Partner, the following statements can be made:

- As of the date of this assessment, there is no clear indication that the Ultimate Beneficial Owners (UBOs) or individuals exercising effective control over the Company hold comparable roles or positions within any other ICP Node Provider.
- No direct familial, corporate, or financial relationships could be clearly identified at this stage between the Company (including its UBOs and controlling persons) and any other ICP Node Provider, their respective UBOs, or individuals holding controlling interests.
- Based on the documentation provided and the information available at this stage, the Company does not appear to be part of any holding, parent, or subsidiary structure involving, or otherwise affiliated with, any other ICP Node Provider. There is no apparent indication that such a relationship exists at this time.
- According to the documents submitted and the self-declaration, there is no clear indication at this stage that the Company is engaged in any loan arrangements, debt financing, or similar financial relationships with other Node Providers or their respective UBOs.

6. Additional Remarks

According to the documentation provided, including the shareholder structure and interview with the company's controlling member and Partner, it has been established that ParaFi Technologies NS LLC is wholly owned by ParaFi Technologies LLC.

Furthermore, no ownership or controlling relationship has been identified between Rivonia Holdings LLC or 9Yards Capital and ParaFi Technologies LLC or ParaFi Technologies NS LLC.

While further investigation may be warranted, as of the date of this assessment, no red flags have been identified suggesting control or influence by Rivonia Holdings LLC or 9Yards Capital over ParaFi.

Date of Issuance: 10.07.2025

Assessment Valid As of: 10.07.2026

Signed by:

Maria Fedak
Compliance and Regulatory Manager
JayBee Consulting AG

DISCLAIMER

This Compliance Assessment has been prepared with the utmost care and diligence. It reflects the professional opinion of the issuer based on the information and documentation made available by the Node Provider and the ICP community as well as further information available on online sources at the time of assessment.

The contents of this assessment do not claim to be exhaustive or free from error. While reasonable efforts have been made to verify the accuracy of the information provided, the issuer does not guarantee its completeness or correctness and accepts no liability for any inaccuracies, omissions, or misrepresentations.

This certificate is intended exclusively for use within the context of the Internet Computer Protocol (ICP) network and solely for the purpose explicitly stated herein.

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